



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

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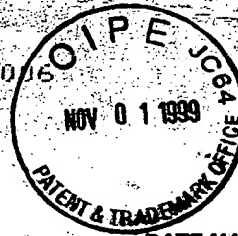
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/360,575 07/26/99 VANSTONE

2189-19

LAWRENCE A. MAXHAM  
BAKER MAXHAM JESTER & MEADOR  
750 B STREET  
SUITE 3100  
SAN DIEGO CA 92101

0212/1016



NOT ASSIGNED

2876

DATE MAILED:

10/16/99

RECEIVED  
MAR - 2 2000  
TECH CENTER 2108

**NOTICE TO FILE MISSING PARTS OF APPLICATION  
Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 870.00.

☒ 1. The statutory basic filing fee is:

- ☒ missing  
☐ insufficient

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Please type a plus (+) sign inside this box →



28E1

SECR

PTO/SB/21 REV 1 (12/97)

Approved for use through 09/30/2000. omb 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/360,575	
	Filing Date	26 July 1999	
	First Named Inventor	SCOTT A. VANSTINE	
	Group Art Unit	2876	
	Examiner Name	Unknown	
Total Number of Pages in This Submission	6	Attorney Docket Number	2189-19 LAM

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Two (2) Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Response (19 pages)	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Checklist and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	1. Renewal Request for Corrected Filing Receipt.
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application		2. Form PTO-1533
<input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53		3. Return postcard.
<b>Remarks:</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Or Individual Name	LAWRENCE A. MAXHAM
Signature	<i>Lawrence A. Maxham</i>
Date	28 October 1999

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail addressed to: Box Issue Fee, Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 28 October 1999			
Typed or printed name	LAWRENCE A. MAXHAM		
Signature	<i>Lawrence A. Maxham</i>	Date	28 October 1999



"PATENTS"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SCOTT A. VANSTONE

SERIAL NO.: 09/360,575

FILED: 26 July 1999

FOR: "TRANSACTION VERIFICATION  
PROTOCOL FOR SMART CARDS"

Group Art Unit: 2876

Examiner: Unknown

Box MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
MAR -2 2000  
TECH CENTER 2700  
NOV 15 1999  
TC 2800 MAIL ROOM

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -  
FILING DATE GRANTED**

This document is submitted in response to the NOTICE TO FILE MISSING PARTS OF APPLICATION, dated 6 October 1999.

Transmitted herewith is a copy of the check that was sent in on 7 October 1999 for the original filing fee in response to the Notice (Form PTO-1533) dated 12 August 1999.


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NOV 20 1999  
TC 2800 MAIL ROOM

SCOTT A. VANSTONE  
SERIAL NO.: 09/360,575

The Commissioner is hereby authorized to charge any fees that arise in connection with this filing which are not covered by the money enclosed, or credit any overpayment, to Deposit Account No. 02-0460. A copy of this letter is enclosed.

Respectfully submitted,

SCOTT A. VANSTONE

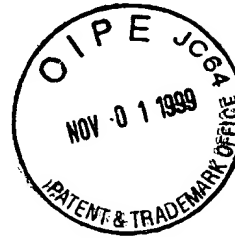
  
By: LAWRENCE A. MAXHAM  
Attorney for Applicants  
Registration No. 24,483

BAKER & MAXHAM  
Symphony Towers  
750 "B" Street, Suite 3100  
San Diego, California 92101  
Telephone: (619) 233-9004

THE UNITED STATES PATENT AND TRADEMARK OFFICE DATE STAMP HEREON  
WILL ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

RESPONSE TO NOTICE OF MISSING PARTS for "TRANSACTION  
VERIFICATION PROTOCOL FOR SMART CARDS"

Applicants: Scott A. Vanstone  
Serial No.: 09/360,575 - Filed: 26 July 1999



ENCLOSURES:  
RESPONSE TO NOTICE OF MISSING PARTS (2 pages);  
NOTICE OF MISSING PARTS (PTO Form-1533) (1 page);  
TRANSMITTAL FORM  
FEE TRANSMITTAL FORM  
CHECK FOR \$890.00;  
THIS POSTCARD.

Mailed via FIRST CLASS MAIL on 7 October, 1999

218919

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MAR-2 1999  
TECH CENTER 2100

**BAKER & MAXHAM**  
A PROFESSIONAL LAW CORPORATION

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Voucher	Date	Invoice Description	Matter
17358	10-07-99	2189-19 (LAM) Patent App	2189019
17358	10-07-99	2189-19 (LAM) Resp to Missing Parts	2189019

22453  
22453  
Amount  
760.00  
130.00

10-07-99 Total Paid

890.00

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750 B STREET SUITE 3100 SAN DIEGO, CA 92101

PENINSULA BANK OF SAN DIEGO  
SAN DIEGO, CA 92103  
90-3482-1222

22453

PAY  
TO THE  
ORDER  
OF

\*EIGHT HUNDRED NINETY AND 00/100 DOLLARS\*

USPTO

DATE  
10-07-99 22453

AMOUNT  
\*\*\*\*\*\$890.00

GENERAL ACCOUNT

*Lawrence A. Maxham*

0022453 01222348221082026401

"PATENTS"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SCOTT A. VANSTONE

SERIAL NO.: 09/360,575

FILED: 26 July 1999

FOR: TRANSACTION VERIFICATION  
PROTOCOL FOR SMART CARDS

Customer Correction Branch  
Application Processing Division  
Assistant Commissioner for Patents  
Washington, D.C. 20231



Group Art Unit: 2876

Examiner: Unknown

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TECH CENTER 2100  
2000 MAR 20

Sir:

**RENEWAL REQUEST FOR CORRECTED FILING RECEIPT**

It has come to the attention of the undersigned attorney that the Corrected Filing Receipt of 18 October 1999 is incorrect.

Please change "BAKER, MAXHAM, JESTER & MEADOR" to "BAKER & MAXHAM" in compliance with all of the papers filed with the application.

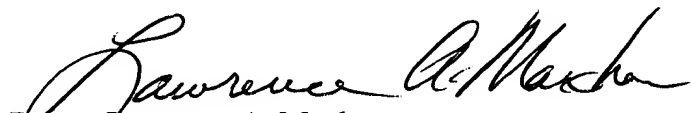
Please change "Tot Cl 7" to -- Tot Cl 8 --.

Please correct the records in the Patent and Trademark Office and issue a corrected Filing Receipt.

Respectfully submitted,

SCOTT A. VANSTONE

Dated: 28 October 1999

By:   
Lawrence A. Maxham  
Attorney for Applicant(s)  
Registration No. 24,483

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